

MORRISON & FOERSTER LLP

Attorneys at Law
3811 Valley Centre Drive
Suite 500
San Diego, California 92130-2332
Telephone: (858) 720-5100
Facsimile: (858) 720-5125

*2nd Fax -- different
OIPF for No. see 1/2 pg
int Fax
attached*

To: USPTO - OIPE

**Facsimile: (703) 746-9195
PH: (703) 308-1202**

From: Peng Chen

Date: January 8, 2004

We are transmitting a total of 13 pages (including this page).
Original or hard copy to follow if this box is checked ☐.

If you do not receive all pages, please call (858) 720-7960 as soon as possible.

Preparer of this slip has confirmed that facsimile number given is correct: 7475/PXC5

This facsimile contains confidential information which may also be privileged. Unless you are the addressee (or authorized to receive for the addressee), you may not copy, use, or distribute it. If you have received it in error, please advise Morrison & Foerster LLP immediately by telephone or facsimile and return it promptly by mail.

Comments:

Re: U.S. Patent Application Serial No. 09/975,136

Filed: October 10, 2001

For: METHODS AND COMPOSITIONS FOR TREATING OR PREVENTING
BACTERIAL INFECTION

By: Dexu ZHU, et al

Our reference: 52402-20001.00

**REQUEST FOR CORRECTED FILING RECEIPT ATTACHED
CUSTOMER NO. 25225**

- .Transmittal, 1 page
- .Request for Corrected Filing Receipt, 2 pages
- .Redmarked Copy of Filing Receipt, 1 page
- .Revised Declaration, 6 pages
- .Revised Application Data Sheet, 2 pages

Please type a plus sign inside this box →



PTO/SB/21 (08-00)

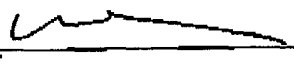
Approved for use through 10/31/2002, OMB 0851-0031

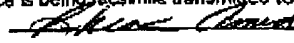
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|--|----|------------------------|------------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | | Application Number | 09/975,136 |
| | | Filing Date | October 10, 2001 |
| | | First Named Inventor | Dexu ZHU |
| | | Group Art Unit | 1621 |
| | | Examiner Name | P. Zucker |
| Total Number of Pages in This Submission | 12 | Attorney Docket Number | 524022000100 |

| ENCLOSURES (check all that apply) | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) Request for Corrected Filing Receipt; Redmarked Copy of FR; Revised Declaration; Revised Application Data Sheet |
| Remarks CUSTOMER NO. 25225 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual Name | MORRISON & FOERSTER LLP Peng Chen - 43,543 |
| Signature |  |
| Date | January 7, 2004 |

| CERTIFICATE OF FACSIMILE TRANSMISSION | |
|---|--|
| I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on 1-7-2004 | |
|  (Rhea Amid) | |

sd-152793

*** TX REPORT ***

FAXED
1/7/04

TRANSMISSION OK

| | |
|-------------------|---------------------------------|
| TX/RX NO | 1374 |
| RECIPIENT ADDRESS | 7475#52402#2000100#17033086879# |
| DESTINATION ID | |
| ST. TIME | 01/07 17:49 |
| TIME USE | 04'43 |
| PAGES SENT | 13 |
| RESULT | OK |

6r

MORRISON & FOERSTER LLP

Attorneys at Law
3811 Valley Centre Drive
Suite 500
San Diego, California 92130-2332
Telephone: (858) 720-5100
Facsimile: (858) 720-5125

To: USPTO - OIPE

Facsimile: (703) 308-6879

From: Peng Chen

Date: January 7, 2004

We are transmitting a total of 13 pages (including this page).
Original or hard copy to follow if this box is checked ☐.

If you do not receive all pages, please call (858) 720-7960 as soon as possible.

Preparer of this slip has confirmed that facsimile number given is correct: 7475/PXC5

This facsimile contains confidential information which may also be privileged. Unless you are the addressee (or authorized to receive for the addressee), you may not copy, use, or distribute it. If you have received it in error, please advise Morrison & Foerster LLP immediately by telephone or facsimile and return it promptly by mail.

Comments:

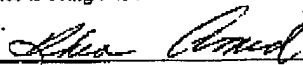
Re: U.S. Patent Application Serial No. 09/975,136
Filed: October 10, 2001
For: METHODS AND COMPOSITIONS FOR TREATING OR PREVENTING
BACTERIAL INFECTION
By: Dexu ZHU, et al
Our reference: 52402-20001.00

REQUEST FOR CORRECTED FILING RECEIPT ATTACHED

PATENT
Docket No. 524022000100

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to MS Office of Initial Patent Examination, the United States Patent and Trademark Office on January 7, 2004.



Rhea Amid

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Dexu ZHU et al

Serial No.: 09/975,136

Filing Date: October 10, 2001

For: METHODS AND COMPOSITIONS FOR
TREATING OR PREVENTING
BACTERIAL INFECTION

Examiner: Paul Zucker

Group Art Unit: 1621

REQUEST FOR CORRECTED FILING RECEIPT

MS: Office of Initial Patent Examination
Commissioner for Patents
P O Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Please furnish the undersigned with a corrected **Filing Receipt** for the above-identified application. The corrections needed are as follows:

sd-95524

UNDER ASSIGNOR:

Please change the assignor "MURAMATSU, MITSUMI" to

--MURAMATSU, MUTSUMI--

and change the assignor "NI, Cheng" to

--CHENG, NI--

Attached is a **Revised Declaration/Power of Attorney** which is to correct the 2nd and 4th Assignors' names and the name and address of the 2nd Assignor.

Also attached is a redmarked copy of the Filing Receipt, indicating same.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952, ref. Docket No. 524022000100. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: January 7, 2004

By: 

Peng Chen
Registration No. 43,543

Morrison & Foerster LLP
3811 Valley Centre Drive
Suite 500
San Diego, California 92130-2332
Telephone: (858) 720-5117
Facsimile: (858) 720-5125

RECEIVED

MAR 15 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

 MORRISON & FOERSTER
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FILE FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|----------------|------------------|----------|------------|------------|
| 09/975,136 | 10/10/2001 | 1614 | 435 | 524022000100 | 3 | 20 | 1 |

CONFIRMATION NO. 8877

UPDATED FILING RECEIPT

 OC00000007614320

 Peng Chen
 Morrison & Foerster LLP
 Suite 500
 3511 Valley Centre Drive
 San Diego, CA 92130-5117

Date Mailed: 03/11/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Applicant(s): Dexu Zhu, Nanjing, CHINA;
 MITSUMI: Mitsumi Muramatsu, Tokushima-shi, JAPAN;
 Jianshu Xie, Shanghai, CHINA;
 Ni CHEN G: Cheng Ni, Zhengjiang, CHINA;
 Ming-Wei Wang, San Deigo, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

CHINA 01142289.0 09/26/2001

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Methods and compositions for treating or preventing bacterial infection

NBZ